### **Chapter 7: Time Complexity**

### Time complexity

- Let *M* be a deterministic Turing machine that halts on all inputs. The running time or *time complexity* of *M* is the function f:  $N \rightarrow$ *N*, where f(n) is the maximum number of steps that *M* uses on any input of length n. If f(n) is the running time of *M*, we say that
- *M* runs in time f(n)
- *M* is an f(n) time Turing machine

### **Big-O** notation

• Let f and g be functions f, g:  $N \rightarrow R^+$ . f(n)=O(g(n)) if positive integers c and n<sub>0</sub> exist such that for every integer n  $\ge n_0$ 

 $f(n) \le cg(n)$ 

g(n) is an asymptotic upper bound for f(n).

### Small-o notation

Let f and g be functions f, g: N → R<sup>+</sup>.
 f(n)= o(g(n)) if for every positive integer c,
 n<sub>0</sub> exists such that for every integer n ≥ n<sub>0</sub>
 f(n) < cg(n)</li>

#### Time complexity class

# $TIME(t(n)) = \{L \mid \exists TM M \text{ s.t.} \\ 1) L(M) = L, \text{ and} \\ 2) \forall \text{ input w, } M \text{ halts on w in} \\ O(t(n)) \text{ steps (language L is decided by} \\ an O(t(n)) \text{ time Turing machine})\}$

### The class ${f P}$

**P** is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing machine. In other words,

### $P = \bigcup_{k} TIME(n^{k})$

### Example 1: A language known to be in **P**

## $CONN = \{ \langle G \rangle | G \text{ is a} \\ connected graph \}$

### Example 2: A language known to be in **P**

PATH ={<G, s, t>| G is a graph, and s, t are two vertices. There is a path from s to t.}

### PATH is in P

- A polynomial time algorithm *M* for PATH operates as follows:
- M = "On input <G, s, t>, where G is a directed graph with nodes s and *t*:
  - 1. Place a mark on node s.
  - 2. Repeat the following until no additional nodes are marked:
  - 3. Scan all the edges of G. If an edge (a, b) is found going from a marked node *a* to an unmarked node *b*, mark node b.
  - 4. If *t* is marked, *accept*. Otherwise, *reject*."

### Example 3: A language known to be in **P**

#### Every context-free language is in P

### Every CFL is in P

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Let G be a CFG in CNF generating the CFL L. Assume that S is the
   start variable. A polynomial time algorithm D works as follows:
D = "On input w = w<sub>1</sub>...w<sub>n</sub>:
  1. If w = \varepsilon and S \rightarrow \varepsilon is a rule, accept.
  2. For i = 1 to n:
  3. For each variable A:
  4.
         Test whether A \rightarrow b is a rule, where b=w<sub>i</sub>.
         If so, place A in table (i, i).
  5.
  6. For I = 2 to n:
  7. For i = 1 to n-l+1:
  8. Let j = i+l-1,
  9. For k = i to j-1:
             For each rule A \rightarrow BC:
  10.
               If table(i, k) contains B and table(k+1, j) contains C, put A
  11.
                in table(i, j).
```

12. If S is in table(1, n), accept. Otherwise, reject. "

### Verifier

A **verifier** for a language A is an algorithm V, where

A = {w | V accepts <w, c> for some string c}. The time of a verifier is measured in terms of the length of w: |w|.

A **polynomial time verifier** runs in a polynomial time in the length of w. A language A is **polynomially verifiable** if it has a polynomial time verifier.

### The class NP, definition

**NP** is the class of languages that have polynomial time verifiers.

#### HAMPATH = $\{\langle G, s, t \rangle | G \text{ is a directed}$ graph with a Hamiltonian path from s to t $\}$ is in NP

Proof: the following is a NTM that decides HAMPATH problem in nondeterministic polynomial time. HAMPATH={<G, s, t>| G is a directed graph with a Hamiltonian path from s to t} is in NP

- N="On input <G, s, t>, where G is a directed graph with nodes s and t:
- 1. Write a list of m numbers,  $p_1, ..., p_m$ , where m is the number of nodes in G. Each number in the list is nondeterministically selected to be between 1 and m.
- 2. Check for repetitions in the list. If any are found, reject.
- 3. Check whether  $s = p_1$  and  $t = p_m$ . If either fail, reject.
- For each i between 1 and m-1, check whether (p<sub>i</sub>, p<sub>i+1</sub>) is an edge of G. If any are not, reject. Otherwise, all tests have been passed, so accept."

### Theorem

Language L is in NP iff it is decided by some nondeterministic polynomial Time Turing machine.

### Proof

( ) Let  $A \in NP$  and A is decided by a polynomial time NTM N. Let V be the polynomial time verifier for A that exists by the definition of NP. Assume that V is a TM that runs in time  $n^k$  and construct N as follows:

- N= "On input *w* of length n:
- 1. Nondeterministically select string *c* of length at most n<sup>k</sup>
- 2. Run V on input (w, c).
- 3. If V accepts, accept; otherwise, reject.

### Proof

- ( ) Assume that A is decided by a polynomial time NTM N and constructs a polynomial time verifier V as follows:
- N= "On input  $\langle w, c \rangle$ , where w and c are strings:
- 1. Simulate N on input w, treating each symbol of c as a description of the nondeterministic choice to make at each step.
- 2. If this branch of N's computation accepts, accept; otherwise, reject."

### Time classes: **NTIME**(f)

**NTIME**(t(n)) = {L| L is a language decided by an O(t(n)) time nondeterministic Turing machine}

### The class **NP**

### $NP = \bigcup_{k} NTIME(n^k)$

### Languages in NP

### CLIQUE, SUBSET-SUM, SAT, 3SAT, FACTOR, ISO, vertex-cover

### SAT = { $\phi$ : $\phi$ is a boolean formula that has a satisfying assignment}

<u>Polynomial verifier</u>: guess assignment to variables, plug into  $\phi$ , then verify

# FACTOR = {(k, N): N has a non-trivial factor less than k}

#### Polynomial verifier:

- guess 1<d<k
- divide d by N
- determine whether the remainder is 0

### Polynomial time computable function

 A function *f* is a polynomial time computable function if some polynomial time Turing machine *M* exists that halts with just *f(w)* on its tape, when started on any input *w*. Polynomial-time Reduction A function  $\mathbf{f}: \sum^* \to \sum^*$  is a polynomial-time reduction from language  $L_1$  to language  $L_{2,}$  $L_1 \leq_p L_{2,}$  iff

- **f** is a polynomial time computable function.
- for all w,

$$w \in L_1 \stackrel{\longrightarrow}{\longrightarrow} \mathbf{f}(w) \in L_2$$

## Theorem: If $A \leq_p B$ and $B \in P$ , then $A \in P$

Proof:

Let M be the polynomial time algorithm deciding B and f be the polynomial time reduction from A to B. We describe a polynomial time algorithm N that decides A as follows.

- N = "On input w:
  - 1. Compute f(w).
  - 2. Run M on input f(w) and output whatever M outputs."

### P vs. NP



### **NP-hard**

### A language L is <u>NP-hard</u> iff $\forall A \in NP, A \leq_p L$

### **NP-complete**

### A language L is **NP-complete** iff

### 1) $L \in NP$ 2) L is NP-hard

### The Cook-Levin Theorem: SAT is NP-complete

### $SAT \in P \text{ iff } P = NP$

### **3-SAT**

# $\begin{array}{l} \textbf{3-SAT} \equiv \{ \phi(x_1, x_2 \dots x_n) | \ \phi \in \text{SAT}, \\ \phi = C_1 \ \textbf{AND} \ C_2 \ \textbf{AND} \ \dots \ C_n \\ \text{where} \ C_i = \alpha_{i1} \ \textbf{OR} \ \alpha_{i2} \ \textbf{OR} \ \alpha_{i3}, \\ \text{and} \ \forall i, j \ \exists k: \ \alpha_{ij} = x_k \ \text{or} \ \alpha_{ij} = x_k \ \end{array} \right\}$

#### **Conjunctive Normal Form**

### 3-SAT is NP-complete

Proof:

1) **3-SAT**  $\in$  **NP** (by guessing the assignment to variables

and verifying that  $\phi(x_1, x_2 \dots x_n) = 1$ 2)  $\forall L, L \in NP \ L \leq_p 3-SAT$ 

### **Vertex Cover**

Given graph G = (V,E), a subset  $V_1$  of vertices is a vertex cover if each edge in E is adjacent to at least one vertex in  $V_1$ 

Vertex-Cover = {(G,k)| G has a vertex cover of size at most k}

### **Directed Hamiltonian Path**

**Directed\_HP** = {(G,s,t)| G is a directed graph with a path that starts at vertex s, ends at vertex t, and visits every vertex of G <u>exactly once</u>}

### CLIQUE

**CLIQUE** = { {(G, k) |  $\exists$  S, S  $\subseteq$  V<sub>G</sub>, s.t. |S| = k, and S is fully connected inside G }

### SUBSET\_SUM

# **SUBSET\_SUM** = {(A,k)| A is a set (list) of integers, s.t. $\exists B: B \subseteq A$ where $\Sigma_{w \in B} w = k$ }

### Reference

 www.cs.unm.edu/~gemmell/500.html by Dr. Peter Gemmell.